



Europäisches Patentamt  
European Patent Office  
Office européen des brevets

(11) Publication number:

0 073 656  
A3

(12)

# EUROPEAN PATENT APPLICATION

(21) Application number: 82304512.5

(22) Date of filing: 25.08.82

(51) Int. Cl.<sup>3</sup>: C 12 N 15/00  
C 12 P 21/02, C 12 N 5/00  
A 61 K 39/29  
//C12R1/91

(30) Priority: 31.08.81 US 238235  
03.12.81 US 326980

(43) Date of publication of application:  
09.03.83 Bulletin 83/10

(86) Date of deferred publication of search report: 24.10.84

(84) Designated Contracting States:  
AT BE CH DE FR GB IT LI LU NL SE

(71) Applicant: GENENTECH, INC.  
460 Point San Bruno Boulevard  
South San Francisco California 94080(US)

(72) Inventor: Levinson, Arthur D.  
2931 Frontiers Way  
Burlingame California 94010(US)

(72) Inventor: Yansura, Daniel G.  
125 Pioche  
San Francisco California 94134(US)

(72) Inventor: Liu, Chung-Cheng  
221 Lassen Drive  
San Bruno California 94066(US)

(74) Representative: Armitage, Ian Michael et al,  
MEWBURN ELLIS & CO. 2/3 Cursitor Street  
London EC4A 1BQ(GB)

(54) Preparation of polypeptides in vertebrate cell culture.

(57) A vertebrate cell culture is enabled to produce a desired polypeptide by transformation with a vector whose DNA comprises (a) means for replication in the host; (b) a promoter; and (c) a gene encoding the polypeptide, under the control (b).

E.g. a recombinant genome was constructed comprising DNA from (a) the SV40 virus with excision of the VP-1 gene (hence it is noninfective); (b) the HBsAg gene; and (c) pBR322 (rendering it TET<sup>R</sup>, and allowing cloning in *E. coli*). Cells of the CV-1 line of monkey kidney fibroblasts were co-infected therewith and incubated. Lysis occurred, liberating HBsAg which is required for hepatitis vaccine.

Non-lytic vectors for expressing HBsAg were also prepared.

# Specification for European Patent Application

CASE No: IMA/IS/100/32,85

APPLICATION No:	82.304512.5
DATE FILED:	26/8/82
PRIORITY:	31.8.81

APPLICANTS: GENENTECH, INC.  
460 Point San Bruno Boulevard,  
South San Francisco,  
California 94080,  
United States of America.

TITLE: PREPARATION OF POLYPEPTIDES IN VERTEBRATE CELL CULTURE

INVENTORS: ARTHUR D. LEVINSON  
2931 Frontera Way,  
Burlingame,  
California 94010,  
United States of America.

DANIEL G. YANSURA  
125 Pioche,  
San Francisco,  
California 94134,  
United States of America.

CHUNG-CHENG LUI  
221 Lassen Drive,  
San Bruno,  
California 94066,  
United States of America.

DERIVATION OF RIGHT TO APPLY: We are the assignees of the inventors by  
virtue of the assignments dated 28 August 1981  
and 1 December 1981.

PRIORITY CLAIM: U.S. Application No. 298,235 dated 31 August 1981  
U.S. Application No. 326,980 dated 3 December 1981

**MEWBURN ELLIS & CO.**

CHARTERED PATENT AGENTS · EUROPEAN PATENT ATTORNEYS

70 & 72 Chancery Lane, London WC2A 1AD.

Branches at: NEWCASTLE-UPON-TYNE, SHEFFIELD, BRISTOL.

PREPARATION OF POLYPEPTIDES IN VERTEBRATE CELL CULTURE

5

This invention relates to the application of recombinant DNA technology for the production of polypeptides in vertebrate  
10 cell culture. In one aspect, the present invention relates to the construction of expression vehicles containing DNA sequences encoding polypeptides operably linked to expression effecting promoter systems and to the expression vehicles so constructed. Such expression vehicles are capable of  
15 replication and of expression of the encoded polypeptide DNA sequence in both microbial systems and, notably, in various vertebrate cell culture systems. In another aspect, the present invention relates to vertebrate cell cultures transformed with such expression vehicles, thus directed in the  
20 expression of the DNA sequences referred to above.

In preferred embodiments, this invention provides for particular expression vectors that utilize DNA sequences for replication, phenotypic selection and linking to the hepatitis B surface antigen (HBsAg) gene and expression promoter therefor which sequences are natural to and/or not detrimental in the host vertebrate cell system employed. The HBsAg is secreted into the culture medium in particle form of about 22 nm and contains antigenic determinant(s) of hepatitis B virus (HBV). The thus produced hepatitis B surface antigen is suitable for use in the preparation of vaccines against hepatitis B virus and this invention also relates to the means and methods of converting the end product HBsAg of such cell culture expression to entities, such as vaccines, useful against HBV.

The publications and other materials hereof used to illuminate the background of the invention, and in particular cases, to provide additional details respecting its practice are incorporated herein by reference, and for convenience, are numerically referenced in the following text and grouped in the appended bibliography.

A. Recombinant DNA Technology

With the advent of recombinant DNA technology, the controlled microbial production of an enormous variety of useful polypeptides has become possible. Many vertebrate polypeptides, such as human growth hormone, human proinsulin, desacetylthymosin alpha 1, human and hybrid leukocyte interferons, human fibroblast interferon, as well as a number of other products have already been produced by various microorganisms. The power of the technology admits the microbial production of an enormous variety of useful

polypeptides, putting within reach the microbially directed manufacture of hormones, enzymes, antibodies, and vaccines useful for a wide variety of drug-targetting applications.

- 5 A basic element of recombinant DNA technology is the plasmid, an extrachromosomal loop of double-stranded DNA found in bacteria oftentimes in multiple copies per cell. Included in the information encoded in the plasmid DNA is that required to reproduce the plasmid in daughter cells  
10 (i.e., a "replicon" or origin of replication) and ordinarily, one or more phenotypic selection characteristics, such as resistance to antibiotics, which permit clones of the host cell containing the plasmid of interest to be recognized and preferentially grown in  
15 selective media. The utility of bacterial plasmids lies in the fact that they can be specifically cleaved by one or another restriction endonuclease or "restriction enzyme", each of which recognizes a different site on the plasmid DNA. Thereafter heterologous genes or gene fragments may  
20 be inserted into the plasmid by endwise joining at to the cleavage site or at reconstructed ends adjacent to the cleavage site. Thus formed are so-called replicable expression vehicles.
- 25 DNA recombination is performed outside the host organism, and the resulting "recombinant" replicable expression vehicle, or plasmid, can be introduced into the cells of organisms by a process known as transformation and large quantities of the recombinant vehicle obtained by growing  
30 the transformant. Moreover, when the gene is properly inserted with reference to portions of the plasmid which govern the transcription and translation of the encoded DNA message, the resulting expression vehicle can be used to

direct the production of the polypeptide for which the inserted gene codes, a process referred to as expression.

Expression is initiated in a DNA region known as the  
5 promoter. In the transcription phase of expression, the DNA  
unwinds, exposing it as a template for initiated synthesis  
of messenger RNA from the DNA sequence. The messenger RNA  
is, in turn, bound by ribosomes where the messenger RNA is  
translated into a polypeptide chain having the amino acid  
10 sequence encoded by the mRNA. Each amino acid is encoded  
by a nucleotide triplet or "codon" which collectively make  
up the "structural gene", i.e., that part of the DNA  
sequence which encodes the amino acid sequence of the  
expressed polypeptide product. Translation is initiated at  
15 a "start" signal (ordinarily ATG, which in the resulting  
messenger RNA becomes AUG). So-called stop codons define  
the end of translation, and hence of the production of  
further amino acid units. The resulting product may be  
obtained by lysing, if necessary, the host cell, in  
20 microbial systems, and recovering the product by  
appropriate purification from other proteins.

In practice, the use of recombinant DNA technology can  
express entirely heterologous polypeptides--so-called  
25 direct expression--or alternatively may express a  
heterologous polypeptide fused to a portion of the amino  
acid sequence of a homologous polypeptide. In the latter  
cases, the intended bioactive product is sometimes rendered  
bioinactive within the fused, homologous/heterologous  
30 polypeptide until it is cleaved in an extracellular  
environment. See British Patent Publ. No. 2007676A and  
Wetzel, American Scientist 68, 664 (1980).

If recombinant DNA technology is to fully sustain its promise, systems must be devised which optimize expression of gene inserts, so that the intended polypeptide products can be made available in controlled environments and in high yields.

8. Cell Culture Technology

The art of cell or tissue cultures for studying genetics and cell physiology is well established. Means and methods are in hand for maintaining permanent cell lines, prepared by successive serial transfers from isolate normal cells. For use in research, such cell lines are maintained either on a solid support in liquid medium, or by growth in suspension containing support nutriment. Scale-up for large preparations seems to pose only mechanical problems. For further background, attention is directed to Microbiology, 2nd Edition, Harper and Row Publishers, Inc, Hagerstown, Maryland (1973) especially pp. 1122 et seq. and Scientific American 245, 66 et seq. (1981), each of which is incorporated herein by this reference.

The present invention is based upon the discovery that recombinant DNA technology can be used to successfully and efficiently produce polypeptides in vertebrate host cell culture systems. This may offer the advantages such systems can confer, e.g., glycosylation, phosphorylation, methylation and sugar and lipid association more closely related to animal proteins naturally produced versus their production in bacterial or even yeast hosts which are incapable of such levels of sophisticated processing. Suitable vertebrate cell cultures no doubt will tolerate the polypeptide product well and notably can, in some cases, secrete the product into the

cell culture medium, immeasurably aiding in the recovery and purification methods.

The present invention comprises the polypeptides thus produced  
5 and the methods and means of their production. The present  
invention also is directed to replicable expression vehicles  
harboring DNA sequences encoding polypeptides in directly  
expressable form when disposed within vertebrate cell  
cultures. Further, the present invention is directed to  
10 vertebrate cell cultures transformed with the expression  
vehicles described above, capable of expressing such  
polypeptides. In still further aspects, the present invention  
is directed to various processes useful in preparing such DNA  
sequences, DNA expression vehicles and cultures and to specific  
15 embodiments thereof. Still further, this invention is directed  
to the use of thus produced polypeptides for administration in  
the treatment of various disease/conditions in mammals and for  
making pharmaceutical or animal health compositions suitable  
for such treatment.

20

In one preferred embodiment, the present invention is directed  
to means and methods of producing in vertebrate cell culture,  
hepatitis B surface antigen (HBsAg) in discrete particle form  
25 comprising immunogenic determinant(s) of hepatitis B virus  
(HBV). The HBsAg hereof is secreted into the cell culture  
medium in discrete particle form, devoid of any additional,  
fused polypeptide artifact, whether encoded by another portion  
of the HBV genome or by DNA homologous to the vector employed.  
30 This invention contemplates the use of the thus produced HBsAg  
for the preparation of vaccines useful to confer immunogenicity  
to HBV in susceptible humans, to such vaccines and to the  
method of using them to inoculate and confer immunogenicity to  
HBV in susceptible humans.



Hepatitis B Virus

Hepatitis B (serum hepatitis) virus is transmitted among humans and manifests as chronically debilitating infections which can result progressively in severe liver damage, primary carcinoma and death. In most cases, complete recovery from hepatitis B infections can be expected; however, large segments of the population, especially in many African and Asian countries, are chronic carriers with the dangerous potential of transmitting the disease pandemically.

10

Hepatitis is caused by a virus vector (hepatitis B virus or HBV) which in its whole state - the so-called Dane particle-represents the virion and consists of a 27nm nucleocapsid enclosing a DNA molecule and an envelope surrounding the nucleocapsid. Proteins associated with the virion include the core antigen (HBcAg), a DNA polymerase and the surface antigen (HBsAg) which has been found in serum of infected and carrier humans. Antibodies to HBsAg have also been found in serum HBV infected people. It is believed that HBsAg is the HBV antigen that can induce immunogenic production of antibody (anti-HBs) and thus it would be the principle in an HBV vaccine. Attention is directed to: Dane et al., Lancet 1970 (1), 695 (1970); Hollinger et al., J. Immunology 107, 1099 (1971); Ling et al., J. Immunology 109, 834 (1972); Blumberg, Science 197, 17 (1977); Peterson et al., Proc. Nat. Acad. Sci (USA) 74, 1530 (1977) and Viral Hepatitis, A Contemporary Assessment of Etiology, Epidemiology, Pathogenesis and Prevention. (Vyas et al., eds.) Franklin Institute Press, Philadelphia, 1978, each of which is hereby incorporated by this reference to further illustrate the background of this invention.

30

HBsAg is present in infected plasma predominantly in the form

of spherical particles having diameters ranging from about 16 to 25 nm -- the so-called "22 nm particle." These are thought to represent a noninfectious viral envelope. Because antibodies against HBsAg are protective against HBV infection, these non-infectious particles can effectively be used as a vaccine.

Inasmuch as the hepatitis B virus has not been infectious in cell culture and can only be obtained from infected humans or higher primates, means have not been available for obtaining and maintaining sufficient supplies of HBV for use in producing antigen for immunization against HBV.

15 In British Patent Application Publication No. 2034323A and European Patent Applications Publications Nos. 13828 and 20251 are respectively described the isolation and cloning of the HBV genome, the expression of HBV core antigen and the production in E. coli of a fusion protein purportedly containing a portion 20 of HBsAg. Proc. Natl. Acad. Sci. (USA) 77, 4549 (1980) reports the integration of mouse chromosome by transformation of mouse cells with tandem cloned hepatitis B genomes.

Moriarty et al., in Proc. Natl. Acad. Sci. (USA) 78, 2606 25 (1981), describe the construction of a simian virus 40 (SV40) recombinant carrying a fragment of HBV-DNA. Cultures of monkey kidney cells were infected with the viral recombinant and produced a 22 nm particle purportedly characteristic of those found in sera of hepatitis B infected patients. The Moriarty 30 et al. recombinant vector contained a large segment of the HBV genome harboring the HBsAg sequence and included DNA sequences encoding SV40 tumor proteins and possibly other HBV proteins.

Further, their construction incorporated the HBsAg DNA in frame with the VP-2 protein coding sequence of SV40 virus. It is not clear whether mature HBsAg was expressed.

Some preferred embodiments of the invention will now be described with reference to the accompanying drawings, in which:

Figure 1 depicts the construction of plasmid pSVR containing SV40 DNA with a deletion of the coding region for the VP-1 protein.

10 Figure 2 depicts the construction of plasmid pH594 harboring HBsAg DNA.

Figure 3 depicts the construction of plasmid pSVHBSA containing HBsAg DNA and sequences of DNA derived from SV40 and pBR322.

15 In Part B, the DNA sequence surrounding the ATG initiation codon of VP-1 protein (boxed in top line) is compared with that of HBsAg created in the recombinant (boxed ATG in bottom line). The Hind III site which was converted to an EcoRI site is underlined.

20

Figure 4 depicts the replication of plasmid DNA in monkey cells. Monolayers of Cos cells were grown to 50-60 percent confluency in 6-cm plastic dishes. The cells were washed with Dulbecco's modified medium, and 2 ml of medium containing 1  $\mu$ g  
25 of plasmid DNA and DEAE-dextran at 200  $\mu$ g was applied for 12 hours at 37°. The DNA solution was removed, the cells washed once with medium, and 5 ml of medium containing 10 percent fetal calf serum was added and the cells incubated at 37° for either one or three days prior to DNA extraction. At these  
30 times, small supercoiled plasmid DNA was isolated according to the method of Hirt (14). The DNA was subjected to agarose gel electrophoresis and transferred to nitrocellulose (15). To visualize replicating plasmids, the nitrocellulose filter

was probed with P32 labelled HBV DNA. Lanes (a) - DNA from Hirt lysates of cells transfected with pRI-8gl. Lanes (b) - DNA from Hirt lysates of cells transfected with pHBs348-L. Lane (H) - 1  $\mu$ g of pHBs348-L DNA. Arrows refer to location of 5 closed circular DNA (I) and open circular DNA (II).

Figure 5 depicts quantitation of HBsAg synthesized by recombinant plasmids in Cos cells. Monolayers of Cos cells were grown to approximately 50 percent confluency in 6-cm 10 plastic dishes, and prepared for DNA transfection as described in Figure 4. 2 ml of Dulbecco's modified medium containing 10 percent fetal calf serum was added following transfection, and the medium assayed at various times for HBsAg expression following 24 hours accumulation. HBsAg expression is expressed 15 as counts per minute (cpm) in 0.2 ml undiluted medium, as assayed by RIA (Abbott Labs).

Figure 6 depicts immunogenicity of HBsAg derived from monkey cells. Medium from 20 15-cm dishes of Cos cells transfected 20 with pHBs348-L was harvested and HbsAg purified as described above for electron microscopic examination. Three groups of five mice were immunized with 2.8  $\mu$ g, 0.6  $\mu$ g, or 0.006  $\mu$ g of purified HBsAg in the presence of complete Freund's adjuvant. Control mice were immunized with similar amounts of authentic 25 HBsAg derived from human serum (North American Biologicals Inc.) Mice were tail bled at various times following immunization, anti-HBsAg antibody quantitated by RIA (Abbott Labs), and the results expressed as the average titer per mouse. Mice immunized with HBsAg derived from tissue culture developed 30 titers identical to mice immunized with HBsAg derived from human serum.

Figure 7 demonstrates the presence of HBV sequences replicating as part of SV40.

Figure 8A depicts a sucrose gradient sedimentation of HBsAg synthesized via the recombinant vector hereof. Figure 8B is a corresponding CsCl gradient centrifugation thereof.

Figure 9 depicts an electron micrograph of HBsAg synthesized as a 22nm particle in accordance with this invention.

10

Cell Culture Systems/Cell Culture Vectors

Propagation of vertebrate cells in culture (tissue culture) has become a routine procedure in recent years (see Tissue Culture, Academic Press, Kruse and Patterson eds, 1973). Employed herein was the CV-1 line of monkey kidney fibroblasts as the host for the production of HBsAg. However, the experiments detailed here could be performed in any cell line which is capable of the replication and expression of a compatible vector, e.g., WI38, BHK, 3T3, CHO, YERO, and HeLa cell lines. Additionally, what is required of the expression vector is an origin of replication and a promoter located in front of, and in reading phase with, the gene to be expressed, along with any necessary ribosome binding sites, RNA splice sites, polyadenylation site, and transcriptional terminator sequences. While these essential elements of SV40 have been exploited, it will be understood that the invention, although described herein in terms of a preferred embodiment, should not be construed as limited to these sequences. For example, the origin of replication of other viral (e.g., Polyma, Adeno, Retro, VSV, BPV, and so forth) vectors could be used, as well as cellular origins of DNA replication which could function in a non-integrated state.

15

20

25

30

In addition, the replication of SV40 DNA begins at a unique site and proceeds bidirectionally (19). Genetic evidence indicates that only one viral gene product is required for the replication of the viral DNA. This is the product of the A gene (T antigen), which is required to initiate each round of viral DNA synthesis (12). Experiments described herein have demonstrated that recombinant plasmids containing the origin of DNA replication of SV40 are able to replicate in monkey cells in the presence of SV40 T antigen (20, 21). It was desired to construct vectors which preserved both replication and promoter functions. That such a system can be employed to express heterologous genes in the absence of a complementing helper virus also has been established.

#### 15 Polypeptide Products

The present invention is useful for the preparation of a wide variety of polypeptides exhibiting bioactivity akin to that of a polypeptide innately produced within a living organism for a physiological purpose, as well as to intermediates which can be processed into such polypeptides, as by cleavage away of superfluous protein, folding, combination etc. Examples are hormones, e.g., human growth hormone, bovine growth hormone, etc.; lymphokines; enzymes, e.g., superoxide dismutase, etc.; interferons, e.g., human fibroblast and human and hybrid leukocyte interferons, etc.; viral antigens or immunogens, e.g., foot and mouth disease antigens, influenza antigenic protein, hepatitis core and surface antigens, etc.; and various other polypeptides, e.g., human insulin, ACTH, various glycoproteins, immunoglobins, vitamin K requiring blood factors, such as Factor VIII and IX, etc.

The expression vectors hereof effectively direct the synthesis of heterologous polypeptides, inter alia in the COS-7

line of monkey cells. The use of both the early and late promoters of SV40 have been exploited, although other promoters should be useful in this regard. In addition to the efficient expression of potentially useful polypeptides (e.g. growth factors, lymphokines, viral antigens, interferons), the system should be useful in other regards. For example, significant levels of RNA are synthesized from these vectors, indicating that a genomic insert containing introns should generate significant levels of processed RNA from which cDNA clones could be readily obtained. Thus, the system should prove useful for converting genomic inserts into cDNA clones. In addition, in the non-lytic expression system, it should be possible to use this approach to express genes for which a selection pressure can be exerted (e.g. dihydrofolate reductase, thymidine kinase, drug resistance genes, oncogenes) with the aim of obtaining both vectors which rely on host, rather than viral, origins of DNA replication, as well as vectors which replicate stably, perhaps through an acquisition of host sequences (e.g. centromeres).

20

#### Detailed Description

##### Construction of a SV40 DNA without the coding region of VP-1 protein

The complete nucleotide sequence of SV40 DNA is known (1). therefore, the physical locations of various SV40 coded proteins can be correlated directly with various restriction enzyme cleavage sites. Examination of the nucleotide sequence encompassing the coding region of VP-1 protein (1) indicated two well placed restriction endonuclease cleavage sites. (Fig. 1). The first is a cleavage site of restriction endonuclease HindIII at nucleotide position 1493, 6 nucleotides 5' to the initiation codon for VP-1 protein. The second, a cleavage site

for restriction endonuclease BamHI at nucleotide 2533, is 50 nucleotides 5' to the termination codon for VP-1 protein. To obtain SV40 DNA with deletion between HindIII site at 1493 and BamHI site at 2533, experiments were carried out as outlined in Figure 1. Briefly, wild type SV40 DNA was first cleaved with BamHI to obtain a full-length linear DNA and then cleaved with HindIII under the condition that each DNA molecule, on average, received only one cleavage (there are six HindIII cleavage sites distributed throughout the SV40 genome). In theory, one out of twelve of the resulting digested DNA mixture should be the one combination desired. Subsequently, a synthetic decanucleotide, dAGCTGAATTC (2), was ligated to these BamHI and HindIII treated SV40 DNA through cohesive ends of HindIII cleavage sites (-TCGA) and that of the decanucleotide. The whole mixture was then digested with EcoRI (to generate cohesive end in the EcoRI site on the added decanucleotide) and cloned into pBR322 through Bam and EcoRI (3) sites. The plasmid DNA containing SV40 sequences was screened by restriction analysis (4) and that fragment with the stipulated deletion was isolated and designated as pSVR.

There are two purposes for the addition of synthetic decanucleotide. First, the added decanucleotide contains a cleavage site for restriction endonuclease EcoRI which is absent in this part of SV40 DNA. Therefore, large quantities of this SV40 DNA vector fragment can be readily obtained by the propagation of pSVR plasmid in E. coli (5) and subsequent cleavage with endonuclease EcoRI and BamHI. Secondly, the added decanucleotide will restore the original physical distance between the HindIII cleavage site and initiation codon for VP-1 protein when a properly constructed DNA containing the coding sequences of a foreign gene is ligated to this SV40 DNA through the EcoRI cleavage site (See Figure 3B).



Eight  $\mu$ g of BamHI cleaved linear full-length SV40 viral DNA (this can be obtained by cleaving wild type SV40 viral DNA or SV40 DNA cloned at the BamHI site of pBR322 with BamHI) was digested with 2 units of Hind III (BRL) in a 50  $\mu$ l reaction mixture containing 20 mM tris-Cl (pH 7.5), 60 mM NaCl and 7 mM  $MgCl_2$ . After incubation at 37°C for 30 minutes, the reaction was stopped by the addition of excess EDTA and the reaction mixture was deproteinized by phenol extraction followed by ethanol precipitation. The partially digested DNA was then resuspended in 10  $\mu$ l of TE buffer (10 mM tris-Cl, pH 7.5, 1 mM EDTA).

For converting the Hind III site cohesive end to the EcoRI site cohesive end, 0.1 n mole of synthetic decanucleotide dAGCTGAATTC was first phosphorylated with ATP by T4 polynucleotide kinase in 10  $\mu$ l reaction mixture containing 50 mM glycine buffer (pH 9.1), 10 mM  $MgCl_2$ , 5mM DTT, 0.5 mM ATP and 10 units of kinase. Incubation was at 37°C for 1 hour. An aliquot (3  $\mu$ l) of the kinase reaction mixture was then added to a ligation mixture (20  $\mu$ l) containing 66 mM tris-Cl (pH 7.5), 6.6 mM  $MgCl_2$ , 10 mM DTT, 0.05 mg/ml BSA, 0.5 mM ATP, 4  $\mu$ g of partially Hind III digested SV40 DNA (above) and 10 units of T4 DNA ligase. Incubation for the ligation reaction was at 20°C for approximately 16 hours.

25

After the ligated DNA was treated with restriction endonuclease EcoRI to generate EcoRI cohesive end on the ligated linker, it was then deproteinized with phenol, precipitated with ethanol, ligated to the BamHI-EcoRI fragment of PBR322 (0.5  $\mu$ g) in a 15  $\mu$ l ligation mixture (see above) and used to transform E. coli 294. Plasmids isolated from these transformants were then screened for the insertion of proper SV40 DNA fragments by various restriction enzyme digestions.

Isolation of HBsAg Structural Gene

The above constructed SV40 vector was designed to express the gene encoding the surface antigen of hepatitis B virus (HBsAg). The HBsAg, a polypeptide of 25,000 molecular weight, normally exists as a complex particulate structure (22 nm particle) which is partly glycosylated and is secreted to the exterior of the infected liver cell (6).

For expressing HBsAg in the above constructed SV40 vector, an ideal DNA fragment containing the coding sequence of HBsAg would conform to the following conditions. First, this DNA has EcoRI restriction site on one end and BamHI site on the other. Secondly, the EcoRI site is located immediately 5' to the initiation codon for the HBsAg so that the original position of ATG in the VP-1 protein can be restored. Finally, the resultant recombinant SV40 molecule should be similar in size to wild type SV40 DNA for efficient packaging into viral particles. To meet above conditions, a series of experiments detailed in Figure 2 were carried out. One of the important features for this construction is the creation of an EcoRI restriction site immediately 5' to the presumed initiation codon (ATG) of HBsAg. This was done by using a synthetic 12-mer (dATGGAGAACATC), which is the sequence corresponding to the initiation codon and those of the following 3 amino acids in the HBsAg, as a site specific primer for E. coli DNA polymerase to synthesize DNA from single-stranded cloned HBV DNA. After proper enzymatic treatment, the synthetic DNA was then spliced with the cloned HBV DNA to reconstitute an intact HBsAg gene, and a treated vector DNA containing an EcoRI site on the end to reconstitute the stipulated EcoRI site. The plasmid is designated pHS94.

The structural gene coding for the HBsAg was recovered from a plasmid (pHBV-T-1A) containing the entire genome of HBV cloned into the EcoRI site of pBR322. This clone was obtained by methods similar to those recently published by Valenzuela et al. (7) and (8).

The structural gene was modified in two ways 1) to incorporate a unique restriction site directly in front of the initial ATG methionine codon and 2) to blunt-end ligate the HBV Hpa I site located distal to the HBsAg gene to the filled in EcoRI site of pBR322. These two modifications to the DNA fragment containing the HBsAg structural gene were accomplished as described below:

- 1.) 50 µg of pHBV-T-1A DNA was first digested with Hpa II (80 units) in 200 µl reaction mixture according to enzyme supplier's (BRL) reaction condition to obtain a 1.7 kb DNA fragment, in which the initiation codon for the coding sequences of HBsAg was located close to the 5' end of the sense-strand (about 400 bp). The DNA was purified by electrophoresis on polyacrylamide gels. (PAGE). The purified HpaII fragment was then treated with λ exonuclease (2 units) in 100 µl reaction mixture (New England BioLab) for 30 minutes at 37°C. λ exonuclease is a 5' exonuclease which digests double stranded DNA. This reaction degraded the 5' half of the "sense-strand" DNA from HBsAg coding sequences and exposed the antisense strand for pairing with added primer. The λ exonuclease treated DNA was deproteinized and resuspended in 50 µl of reaction mixture containing 40 mM potassium phosphate buffer (pH 7.4), 1 mM DTT, 50 µg/ml BSA, 6mM MgCl<sub>2</sub>, 0.5 mM each of dNTPs, and 0.2 n mole of dATGGAGAACATC (<sup>32</sup>P-labelled at 5' end by polynucleotide kinase). The mixture was first heated at 90°C for 1 minute, annealed at 0°C for 30 minutes and then

incubated at 37°C for 3 hours in the presence of 2 units of E. coli DNA polymerase I Klenow fragment (9). The DNA polymerase synthesized DNA primed by the added primer and degraded single-stranded DNA with 3'-OH termini and, therefore, 5 created blunt ended DNA molecules. The resultant DNA was then deproteinized, digested with XbaI (45 units) at a site located within the HBsAg gene in a 100 µl reaction mixture and fractionated by PAGE. A 91 base pair DNA fragment containing the first 30 codons of HBsAg gene was isolated after 10 autoradiographic detection (fragment A).

To create a unique restriction site site immediately 5' to the HBsAg gene; we took advantage of a derivative of the plasmid pBR322 (called pNCV) which contains a synthetic DNA 15 segment with the sequence: AATTCTGCAG

GACGTCTTAA

located at the EcoRI site. Incorporated into this synthetic DNA sequence is a PstI site. Ten µg of pNCV DNA was first cut with 24 units of PstI enzyme in a 100 µl reaction mixture and 20 then treated with 2 units E. coli DNA polymerase Klenow fragment in 50 µl reaction mixture as described above at 8°C for 1 hour. The DNA polymerase treatment removed the 4 base-pair 3' overhang created by PstI digestion to leave a blunt ended DNA with an intact EcoRI restriction site giving 25 the fragment B, containing the origin of replication of pBR322. The blunt ended HBsAg gene fragment A, prepared above was ligated to the EcoRI site of fragment B. This was accomplished in a three fragment ligation to create a plasmid pHS94. The third fragment (fragment C) was prepared as follows:

30

2.) The HBsAg gene from the plasmid pHBV-T-1A was cleaved with HpaI at a site distal to the HBsAg gene. The HpaI site was ligated to a EcoRI site of pBR322 previously filled in with DNA

polymerase I Klenow fragment (9). This was accomplished by subcloning the derivative of pBR322 to give pHS42. This plasmid was cleaved with XbaI (which cleaves at codon 31) and with Bam HI (which cleaves 375 base pairs from the EcoRI site of pBR322) to give a DNA fragment containing most of the HBsAg gene, ca. 150 base pairs distal to the HBsAg gene, and the promoter/operator and the first 200 base pairs of the tetracycline resistance gene. The DNA fragment C, bounded by XbaI and BamHI was isolated by PAGE and used in the three fragment ligation described above to give the plasmid pHS94. (Fig. 2).

Construction of Recombinant SV40 DNA Capable of Synthesizing HBsAg

To assure that we have large quantities of properly ligated DNA to transfect monkey cells, the ligated DNA was cloned in E. coli in the following manner. As shown in Figure 3, the BamHI to EcoRI fragment containing SV40 DNA from pSVR was first ligated to the EcoRI to BamHI fragment containing the HBsAg gene from pHS94 through its EcoRI site and the resulting fragment was subsequently cloned in the BamIII site of pBR322.

The properly constructed DNA, pSVHBSA, can then be cleaved with restriction endonuclease BamHI to generate a DNA fragment of 5382 nucleotides which consists of a recombinant SV40 genome with the coding region of its VP1 protein replaced by that of the gene encoding hepatitis surface antigen.

For the construction of pSVHBSA DNA, 0.3  $\mu$ g of the SV40 DNA-containing DNA fragment from the BamHI+EcoRI digested pSVR DNA, 50 ng of the pBR322-containing DNA fragment from BamHI digested pHBV-T-1A DNA (see Figure 2 for detailed structure) and 0.2  $\mu$ g of

HBsAg coding sequence-containing DNA from BamHI+EcoRI digested pHS94 were ligated by T4 DNA ligase through their respective BamHI and EcoRI sites in a 15  $\mu$ l ligation mixture in an overnight incubation. One class of proper ligated DNA products has reconstituted an intact  $\text{tet}^R$  gene of pBR322 and therefore can be selected for by  $\text{tet}^R$  among the large number of  $\text{tet}^S$  recombinants resulted from self-ligation of vector DNA. The structure of the pSVHBSA was then verified by restriction enzyme digestion.

10

Propagation of recombinant SV40 virus and expression of HBsAg in monkey cells

To test the efficiency of hepatitis surface antigen synthesis in such a vector system, the BamHI cleaved pSVHBSA DNA was introduced into a monolayer of CV-1 cells (10) by the DEAE dextran method (11) and coinfecting cells with either tsA28 or tsA58 viruses (12). The CV-1 cell monolayer was then incubated at 41°C under proper culture conditions (11). Both tsA28 and tsA58 represent temperature sensitive mutants in the SV40 T antigen and, therefore, cannot multiply at 41°C (1). Likewise, CV-1 cells which contained only recombinant SV40 genome (pSVHBSA) do not produce infectious virus because pSVHBSA lacks the VP-1 gene. As expected, cytopathic effect was observed after 4 days in the CV-1 cells which contained both ts SV40 virus and the recombinant SV40 genome. Complete cell lysis was observed after 2 weeks of incubation. No cytopathic effect was observed in the CV-1 cells which received only recombinant SV40 genome or ts SV40 virus. Using a commercial radioimmunoassay for HBsAg (13), surface antigen production in the culture medium was detected as early as 4 days after the introduction of DNA. Quantitative assays showed that a monolayer of  $1 \times 10^6$  CV-1 cells produced up to 3.8  $\mu$ g of HBsAg for each infectious cycle, an equivalent of  $9 \times 10^7$  molecules/cell. This number is

almost identical to the estimated number of SV40 VP-1 protein molecules produced in a single infectious cycle (1).

To determine whether the recombinant SV40 genome is packaged  
5 and propagated in CV-1 cells as is SV40 virus, a plate of CV-1  
cells was infected at 41°C with ts SV40 virus plus an aliquot  
of the lysate from the original co-infected cells. Low  
molecular weight DNA from the infected cells was isolated by the  
method of Hirt (14) 72 hours post infection. The isolated DNA  
10 was either untreated or cleaved with restriction endonucleases  
and fractionated by gel electrophoresis on agarose. The  
fractionated DNA was then denatured and transferred to  
nitrocellulose paper by the method of Southern (15), and probed  
with <sup>32</sup>P-labelled pHS94 DNA. As can be seen in Figure 7, the  
15 recombinant DNA molecules containing hepatitis gene sequence  
exist mostly as close-circular DNA with a size  
indistinguishable from SV40 viral DNA (lane A). The majority  
of the recombinant DNA regenerates its BamHI site through  
in vivo ligation (lane B). As expected, the Bam-EcoRI fragment  
20 which contains the coding region of hepatitis B surface antigen  
(see Figure 3) can also be isolated in an unaltered form.

A 150 mm plate of CV-1 cells grown to 90 percent confluency was  
infected with a lysate prepared from an original transfection  
25 experiment (which contained both recombinant and tsA28 viruses)  
and incubated at 41°C after supplementation with excess tsA28  
virus. After 70 hours post-infection, medium was harvested to  
characterize the HBsAg synthesized. Additionally,  
intracellular low molecular weight DNA was isolated according  
30 to the methods of Hirt (14). The isolated DNA was resuspended  
in 1 ml of buffer containing Tris-Cl (pH 7.4), 1mM EDTA.

Five  $\mu$ l of uncut DNA, and 5  $\mu$ l of BamHI digested DNA, was then fractionated by electrophoresis through a 0.8 percent agarose gel in TBE Buffer, along with SV40 DNA treated similarly as a control. The DNA pattern on the gel was transferred to a sheet of nitrocellulose paper and hybridized to  $^{32}$ P-labelled pHS94 DNA (15), as a source of HBsAg gene probe. Figure 7 represents the autoradiographic image of  $^{32}$ P-labelled pHS94 DNA after hybridization. Lanes A and B are untreated Hirt supernatant and BamHI digested Hirt supernatant DNA respectively. I, II and III denote the position of form I, form II and form III of control SV40 DNA whose positions were determined by ethidium bromide staining before DNA was transferred to nitrocellulose paper.

15 Hepatitis surface antigen encoded by pSVHBsA is synthesized in a particle form.

Hepatitis surface antigen is synthesized and secreted from infected liver cells as a particle (6). Sequence analysis of various cloned hepatitis DNA suggests the possibility that the mature surface antigen may be cleaved from a larger precursor protein (8). Since only DNA encoding the mature HBsAg molecule, plus some 3' untranslated sequences, are incorporated into the SV40 genome in the construction described, it can be asked whether the speculated precursor-peptide plays any functional role in assembling the 22 nm particle and in its eventual secretion from the cell. To this end, the synthesized hepatitis surface antigen has been characterized by comparing its property with authentic protein through sedimentation velocity, sedimentation equilibrium, and electron microscopic analysis. As shown in Figure 8, the hepatitis surface antigen synthesized in this vector system has a sedimentation rate



indistinguishable from hepatitis surface antigen isolated from the medium of an infected liver cell line (17) and has a buoyant density of  $1.22 \text{ g/cm}^3$ , again similar to an authentic surface antigen 22 nm particle (6). Examination by electron microscopy of purified surface antigen has also revealed a predominant particulate structure with a mean diameter of 220 Å (22 nm), morphologically identical to authentic 22 nm particles (Figures 8 and 9). Therefore, the mature hepatitis surface antigen protein monomer is the only essential structural component encoded by hepatitis virus which is required for the assembly of the 22 nm particle.

A. Comparison of the sedimentation velocity of HBsAg synthesized in CV-1 cells and that of HBsAg synthesized and secreted by a Hepatoma cell line PLC (17 and 18). (Figure 8A). Culture medium harvested from the experiment described in Figure 7 was the source of HBsAg used in this experiment. HBsAg produced by the PLC cell line (17 and 18) was harvested from the culture medium. HBsAg from both cultures was first precipitated by ammonium sulfate at 45 percent saturation and resuspended (at a final concentration of HBsAg of  $0.5 \text{ } \mu\text{g/ml}$ ) in a buffer containing 20 mM tris-Cl (pH 7.4), 0.5 mM EDTA and 0.5 mM NaCl. 200  $\mu\text{l}$  of each sample was loaded on two parallel 5 ml sucrose gradients (5-20 percent sucrose) in the same buffer. Centrifugation was carried out at 45,000 rpm in a Beckman SW 50.1 rotor for 80 minutes at  $4^\circ\text{C}$ . HBsAg was detected using the commercial HBsAg assay kit (Ausria II-125, Abbott Lab). Recovery of HBsAg was invariably greater than 80 percent.

B. Buoyant density determination of HBsAg synthesized in CV-1 cells. (Figure 8B). 2  $\mu\text{g}$  of HBsAg from pooled infected culture medium were precipitated by ammonium sulfate at 45

percent saturation, fractionated over an agarose gel permeation column (A 5.0 M, Bio-Rad), and then sedimented through a 5-20 percent sucrose gradient as described in A. After sucrose gradient centrifugation, pooled HBsAg was dialyzed against 5 gradient buffer without sucrose, and solid CsCl was then added to give the solution (7 ml) a final density of 1.2 g/c.c. It was then centrifuged at 50,000 rpm in a Sorvall T 865.1 rotor for 68 hours. Assays were performed as described in A.

Recovery of HBsAg in CsCl gradients was about 70 percent. Peak 10 fractions were then pooled, dialyzed against 10 mM TrisCl, 100mM NaCl and 0.5 mM EDTA buffer, concentrated, and prepared for electron microscopic examination.

To prepare the antigen for electron micrographs, carbon coated 15 copper grids were prepared. One drop of buffer containing HBsAg protein (1  $\mu$ g/ml) was placed on a grid for 30 min. at room temperature. The protein solution was blotted off the grid radially and washed once with 4 drops of H<sub>2</sub>O. The grid was then stained with 1.0 percent Na-phosphotungstate, pH 7.6, 20 for one minute, and dried with filter paper. Electron micrographs were taken on a Phillips E. M. 400 at magnification 77700. This provided a negative from which a positive picture was made by enlargement (ca. 10 times) as depicted in Figure 9.

#### 25 Construction of non-lytic vectors expressing HBsAg

Recombinant plasmids were assembled which contain pBR322 sequences derived from the plasmid pML (21), 348 base-pairs of SV40 DNA which comprise the origin region of DNA replication as well as the promoter sequences for both the early and late 30 transcriptional units, and HBV sequences which encode the gene for HBsAg. The origin of SV40 was isolated by digesting SV40 DNA with HindIII, and converting the HindIII ends to EcoRI ends by the addition of a converter (AGCTGAATTC). This DNA was cut

with PvuII, and RI linkers added. Following digestion with EcoRI, the 348 base-pair fragment spanning the origin was isolated by polyacrylamide gel electrophoresis and electroelution, and cloned in pBR322. Expression plasmids  
5 pHBs348-E and pHBs348-L were constructed by cloning the 1986 base-pair fragment resulting from EcoRI and BglII digestion of HBV (22) (which spans the gene encoding HBsAg) into the plasmid pML (21) at the EcoRI and BamHI sites. (pML is a derivative of pBR322 which has a deletion eliminating sequences which are  
10 inhibitory to plasmid replication in monkey cells (21)). The resulting plasmid (pRI-Bgl) was then linearized with EcoRI, and the 348 base-pair fragment representing the SV40 origin region was introduced into the EcoRI site of pRI-Bgl. The origin  
15 encodes both the early and late SV40 promoters in addition to the origin of replication, HBV genes could be expressed under the control of either promoter depending on this orientation (pHBs348-E representing HBs expressed under control of the early promoter; pHBs348-L representing HBs expressed under  
20 control of the late promoter).

#### Replication of SV40-HBV plasmids in monkey cells

The replication of HBV-SV40 recombinant plasmids in the COS-7 line of monkey cells was demonstrated as follows: At various  
25 times following DNA transfection by the DEAE-dextran technique, low molecular weight DNA was isolated by the method of Hirt (14), fractionated by electrophoresis in agarose gels and analyzed by Southern blot hybridization (15). Figure 4 demonstrates that immediately following transfection, little or  
30 no supercoiled plasmid is present in COS cells. Three days after transfection, however, extensive replication of the input DNA has occurred, following introduction of either pHBs348-L

DNA (lane b) or pHBs348-E DNA. As expected, no plasmid replication is observed following transfection of plasmid pRI-Bgl (which lacks the SV40 origin sequences but is otherwise identical to the above expression plasmids) (lanes a).

5

Synthesis of HBsAg in monkey cells transfected with recombinant plasmids

Plasmids pHL, pRI-Bgl, pHBs348-E and pHBs348-L were introduced into the COS-7 line of monkey cells (23), modifying the DEAE-dextran procedure (11) by increasing the time of treatment and exposure of the cells to DEAE-dextran and DNA to 12 hours. The COS-7 cells line harbors an integrated copy of the early region of SV40 and expresses constitutively the SV40 A gene product (T antigen). Plasmids containing a functional origin of SV40 DNA replication have been demonstrated to replicate in monkey cells in the presence of SV40 T antigen (20, 21). Figure 5 demonstrates that COS cells transfected with plasmids pHBs348-E and pHBs348-L begin expressing significant quantities of HBsAg by day 2, and continue expressing beyond a two-week period. The level of expression directed by pHBs348-L is somewhat higher than that directed by pHBs348-E. One interpretation of these results is that the late SV40 promoter may be more effective than the early promoter in this system.

25 Tissue culture derived HBsAg is immunogenic in animals

Having demonstrated that tissue culture derived particles of HBsAg are antigenically active, it was desired to determine whether these particles are immunogenic in animals. Therefore, the antigen produced by COS-7 cells was purified by a combination of ammonium sulfate precipitation, sucrose gradient centrifugation, and CsCl density gradient centrifugation. Tissue culture-derived HBsAg was injected into test

mice , while control mice were immunized with identical quantities of commercially available HBsAg (North American Biologicals Inc.) derived from human serum. Titers of anti-HBsAg were then determined at various times following immunization. Figure 6 illustrates that significant titers of anti-HBsAg appeared in mice immunized with authentic HBsAg as well as in mice immunized with tissue culture derived HBsAg. In addition, the kinetics and titers of anti-HBsAg antibody appearance in mice immunized with tissue culture derived HBsAg were indistinguishable from those observed in mice immunized with authentic HBsAg. We therefore conclude that HBsAg synthesized in monkey cells by recombinant DNA techniques is similar, if not identical, in immunogenicity to HBsAg derived from human serum, whose effectiveness as a vaccine has been amply demonstrated.

#### Pharmaceutical Compositions

The compounds of the present invention can be formulated according to known methods to prepare pharmaceutically useful compositions, whereby the polypeptide hereof is combined in admixture with a pharmaceutically acceptable carrier vehicle. Suitable vehicles and their formulation are described in Remington's Pharmaceutical Science by E.W. Martin, which is hereby incorporated by reference. Such compositions will contain an effective amount of the polypeptide product hereof together with a suitable amount of carrier vehicle in order to prepare pharmaceutically acceptable compositions suitable for effective administration to the host. One preferred mode of administration is parenteral. Suitable animal health formulations are prepared as in the case of pharmaceutical compositions, mutatis mutandis.

Vaccine Preparation

The vaccines of the present invention, incorporating a suitable polypeptide produced as herein described, can be prepared according to known methods, wherein said polypeptide is  
5 combined in admixture with a suitable vehicle. Suitable vehicles include, for example, saline solutions, various known adjuvants, or other additives recognized in the art for use in compositions applied to prevent viral infections. Such vaccines will contain an effective amount of the polypeptide  
10 hereof and a suitable amount of vehicle in order to prepare a vaccine useful for effective administration to the host. Attention is also directed to New Trends and Developments in Vaccines, Editors: A. Voller and H. Friedman, University Park Press, Baltimore, 1978, which is hereby incorporated by  
15 reference, for further background details on the preparation of vaccines.

Because of the unique methods by which the active component of these vaccines are produced, vaccines hereof are likely to be  
20 substantially free of extraneous protein(s) and of other viral and cellular components. Therefore, they are less likely to produce the complications of whole killed or attenuated virus vaccine preparations.

25 It will be apparent to those skilled in the art in the light of the foregoing discussion that the invention, such as in the selection of intended polypeptide product, is not to be limited to the preferred embodiments hereof.

Bibliography

1. N.H. Acheson in Molecular Biology of Tumor Viruses. (J. Tooze ed.) Cold Spring Harbor Lab. N.Y. 2nd edi. Part 2. pp. 125-204 (1980).
2. R. Crea, et al., Proc. Natl. Acad. Sci. (U.S.A.) 75, 5765 (1978).
3. D. V. Goeddel, et al., Proc. Natl. Acad. Sci. (U.S.A.) 76, 106 (1979).
4. R. W. Davis, et al., Advanced Bacterial Genetics, Cold Spring Harbor Laboratory. N.Y. pp. 116-125 (1980).
5. D. H. Hamer and P. Leder, Cell, 18, 1299 (1979).
6. O. Blumberg, Science 197, 19 (1977).
7. P. Valenzuela, et al., Nature, 280, 815 (1979).
8. P. Charnay et al., Proc. Natl. Acad. Sci. (U.S.A.) 76, 2222 (1979).
9. H. Jacobsen et al., Eur. J. Biochem. 45, 623 (1974).
10. J. E. Mertz and P. Berg., Virology, 62, 112 (1974).
11. J. H. McCutchan and J. S. Pagano, J. Nat. Cancer Inst. 41, 351 (1968).
12. P. J. Tegtmeier, J. Virology 10, 591 (1972).
13. Ausria II-125. Abbott Lab.

14. B. J. Hirt, J. Mol. Biol. 26, 365 (1967).
15. E. M. J. Southern, J. Mol. Biol. 98, 503 (1975).
16. C-J. Lai and D. Nathans, J. Mol. Biol. 89, 179 (1974).
17. J. J. Alexander et al., S. Afr. Med. J. 50, 2124 (1976).
18. P. L. Marion et al., J. of Virol. 32, 796 (1979).
19. DNA Tumor Viruses 2nd Ed. (Ed J. Tooze), Cold Spring Harbor Lab., N.Y. (1980).
20. Myers and Tjian, Proc. Natl. Acad. Sci. (U.S.A) 77, 6491 (1980).
21. Lusky and Botchan, Nature 293, 79 (1981).
22. Animal Virus Genetics (Ed. Fields, Jaenisch and Fox), Chapter 5, p 57, Academic Press, N.Y. (1980).
23. Gluzman, Cell 23, 175 (1981).



Claims

1. A method of producing a transformant vertebrate cell culture which transformant is capable of producing polypeptide by expression of a replicable expression vector disposed therein encoding said polypeptide, which comprises:
  - a) providing a DNA transfer vector fragment capable of replication in host cell culture,
  - 10 b) providing a DNA fragment comprising a promoter compatible with host cell culture,
  - c) providing a DNA fragment enclosing polypeptide suitable for linkage with the fragment of step b),
  - d) assembling the fragments of steps a), b) and c) together with appropriately positioned transcription termination sites and poly A addition sequence and translational start and stop signals to form a replicable expression vector in which said sequence of step c) is under control of said promoter, and
  - 15 e) transforming a vertebrate cell culture with the vector of step d).
2. The method according to Claim 1 comprising the additional steps of:
  - f) allowing the vertebrate cell culture transformant to grow under customary conditions in medium until said polypeptide is produced therein, and
  - 25 g) recovering said polypeptide.

3. The method according to Claim 2 wherein the polypeptide is hepatitis B surface antigen, secreted in discrete particle form into said medium.

4. The method according to Claim 1, 2 or 3 wherein the  
5 fragment of step (a) is further capable of phenotypic selection in microbial host.

5. The method according to any preceding claim wherein the DNA vector of step a) comprises at least part of the SV40 vector containing an origin of replication therefor.

10 6. The method according to any preceding claim wherein the vertebrate cell culture of step e) is either the CV-1 line or the COS-7 line of monkey kidney fibroblasts.

7. A transformant vertebrate cell culture capable of producing polypeptide by expression of a replicable  
15 expression vector disposed therein encoding said polypeptide which includes a replicable DNA expression vector comprising a DNA sequence encoding said polypeptide and an expression promoter compatible with said transformant cell, said sequence being positioned  
20 together with translational start and stop signals in said vector under control of said promoter.

8. The cell culture according to Claim 7 obtained by transforming the CV-1 line or the COS-7 line of monkey kidney fibroblasts.

25 9. The use of hepatitis B surface antigen, produced by

the method according to any of Claims 1 to 6, for conferring immunogenicity to hepatitis B virus in a susceptible human or for preparing a vaccine therefor.

10. A DNA expression vector capable, in a transformant  
5 vertebrate cell culture, of expressing polypeptide under proper reading frame control of an expression effecting promoter.

11. A vector according to Claim 10 selected from pSVHBSA, pHBs348-E and pHBs348-L.

10 12. A vector according to Claim 10 or 11 capable of expressing hepatitis B surface antigen.

13. A particle of about 22 nm comprising hepatitis B surface antigen produced in vertebrate cell culture by expression of a replicable expression vector disposed  
15 therein.

14. The particle according to Claim 13 secreted from said culture into the medium for said culture.

ABSTRACT

PREPARATION OF POLYPEPTIDES IN VERTEBRATE

CELL CULTURE

5

A vertebrate cell culture is enabled to produce a desired polypeptide by transformation with a vector whose DNA comprises (a) means for replication in the host; (b) a promoter; and (c) a gene encoding the polypeptide, under the control (b).

E.g. a recombinant genome was constructed comprising DNA from (a) the SV40 virus with excision of the VP-1 gene (-hence it is noninfective); (b) the HBsAg gene; and (c) pBR322 (rendering it TET<sup>R</sup>, and allowing cloning in E.coli). Cells of the CV-1 line of monkey kidney fibroblasts were co-infected therewith and incubated. Lysis occurred, liberating HBsAg which is required for hepatitis vaccine.

Non-lytic vectors for expressing HBsAg were also prepared.

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

☒ **BLACK BORDERS**

☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**

☐ **FADED TEXT OR DRAWING**

☒ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**

☐ **SKEWED/SLANTED IMAGES**

☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**

☐ **GRAY SCALE DOCUMENTS**

☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**

☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**

☐ **OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**